

01-26-05

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

TSR-10002/38

In Re Application Of: John Hilfinger et al.

Application No.

10/706,738

Filing Date

November 12, 2003

Examiner

Customer No.

25006

Group Art Unit

1632

Confirmation No.

7532

Title: METHODS AND COMPOSITIONS OF GENE DELIVERY AGENTS FOR SYSTEMIC AND LOCAL THERAPY

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ the statement specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
TSR-10002/38

In Re Application: John Hilfinger et al.

Application No. 10/706,738	Filing Date November 12, 2003	Examiner	Customer No. 25006	Group Art Unit 1632	Confirmation No. 7532
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Title: METHODS AND COMPOSITIONS OF GENE DELIVERY AGENTS FOR SYSTEMIC AND LOCAL THERAPY

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated:

January 24, 2005

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Attorney for Applicant

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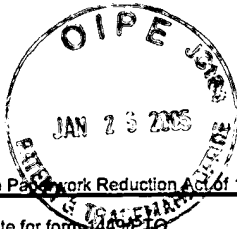
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PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/706,738
Filing Date	November 12, 2003
First Named Inventor	John Hilfinger
Art Unit	1632
Examiner Name	
Attorney Docket Number	TSR-10002/38

Sheet 1 of 4

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,351,337	09-28-1982	Sidman	
		US- 5,328,470	07-12-1994	Nabel et al.	
		US- 5,681,744	10-28-1997	Greenstein	
		US- 5,763,270	06-09-1998	Eastman et al.	
		US- 5,770,580	06-23-1998	Ledley et al.	
		US- 5,786,340	07-28-1998	Henning et al.	
		US- 5,792,751	08-11-1998	Ledley et al.	
		US- 5,821,235	10-13-1998	Henning et al.	
		US- 5,827,703	10-27-1998	Debs et al.	
		US- 5,837,283	11-17-1998	McDonald et al.	
		US- 5,840,707	11-24-1998	Mannino et al.	
		US- 5,869,265	02-09-1999	Dawson	
		US- 5,872,141	02-16-1999	Umbreit et al.	
		US- 5,888,529	03-30-1999	Bunnnett et al.	
		US- 5,914,265	06-22-1999	Roop et al.	
		US- 5,922,859	07-13-1999	Birstiel et al.	
		US- 5,952,301	09-14-1999	Drucker	
		US- 5,958,407	09-28-1999	Bunnnett et al.	
		US- 5,958,764	09-28-1999	Roop et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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Sheet 2

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Application Number	10/706,738
Filing Date	November 12, 2003
First Named Inventor	John Hilfinger
Art Unit	1632
Examiner Name	
Attorney Docket Number	TSR-10002/38

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		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,962,427	10-05-1999	Goldstein	
		US- 5,972,707	10-26-1999	Roy et al.	
		US- 5,994,318	11-30-1999	Gould-Fogerite et al	
		US- 6,025,337	02-15-2000	Truong et al.	
		US- 6,057,298	05-02-2000	Roop et al.	
		US- 6,103,470	08-15-2000	Eastman et al.	
		US- 6,143,727	11-07-2000	Roop et al.	
		US- 6,153,737	11-28-2000	Manoharan et al.	
		US- 6,184,037 B1	02-06-2001	Rolland et al.	
		US- 6,191,257 B1	02-20-2001	Ledley et al.	
		US- 6,225,290 B1	05-01-2001	German et al.	
		US- 6,248,558 B1	06-19-2001	Lin et al.	
		US- 6,248,720 B1	06-19-2001	Mathiowitz et al.	
		US- 6,258,789 B1	07-10-2001	German et al.	
		US- 6,271,209 B1	08-07-2001	Smith et al.	
		US- 6,274,322 B1	08-14-2001	Curiel et al.	
		US- 6,274,552 B1	08-14-2001	Tamarkin et al.	
		US- 6,413,942 B1	07-02-2002	Felgner et al.	
		US- 6,416,998 B1	07-09-2002	O'Malley et al.	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3

**3**

of 4

4

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Application Number	10/706,738
Filing Date	November 12, 2003
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Examiner Name	
Attorney Docket Number	TSR-10002/38

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		First Named Inventor	John Hilfinger
		Art Unit	1632
		Examiner Name	
Sheet 4	of 4	Attorney Docket Number	TSR-10002/38

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Printout re: desoxycholic acid from www.inchem.org (undated)	
		HANEY, "Gene-Therapy Fights Oral Cancer", www.oralcancerfoundation.org (May 16, 2001)	

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